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(54) Title: PEROXYACID ANTIMICROBIAL COMPOSITION

#### (57) Abstract

A peroxyacid antimicrobial concentrate and use composition is provided comprising a C<sub>1</sub> to C<sub>4</sub> peroxycarboxylic acid, and a C<sub>6</sub> to C<sub>18</sub> peroxyacid. The combination of these acids produces a synergistic effect, providing a much more potent biocide than can be obtained by using these components separately. Other components can be added to the composition such as hydrotrope coupling agents, stabilizers, etc. An effective antimicrobial use solution is formed at low concentrations when the concentrate composition is diluted with water to a pH in the range of about 2 to 8. Sanitizing of substantially fixed, "inplace" processing lines in dairies, breweries, and other food processing operations in one utility of the composition.

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### PEROXYACID ANTIMICROBIAL COMPOSITION

### Field of the Invention

The invention relates generally to antimicrobial or

5 biocidal compositions. More particularly, the invention
relates to peroxyacid antimicrobial concentrates and use
solutions which can sanitize various surfaces such as
facilities and equipment found in the food processing and
food service industries, and various inanimate surfaces in
the health care industry.

#### Background of the Invention

Numerous classes of chemical compounds exhibit varying degrees of antimicrobial or biocidal activity.

- 15 Antimicrobial compositions are particularly needed in the food and beverage industries to clean and sanitize processing facilities such as pipelines, tanks, mixers, etc. and continuously operating homogenation or pasteurization apparatus. Sanitizing compositions have 20 been formulated in the past to combat microbial growth in such facilities. For example, Wang, U.S. Patent No. 4,404,040, teaches a short chain fatty acid sanitizing composition comprising an aliphatic short chain fatty acid,
- a hydrotrope solubilizer capable of solubilizing the fatty 25 acid in both the concentrate and use solution, and a hydrotrope compatible acid so that the use solution has a pH in the range of 2.0 to 5.0.

Peroxy-containing compositions are known for use in the production of microbicidal agents. One such composition is disclosed in Bowing et al., U.S. Patent No. 4,051,059 containing peracetic acid, acetic acid or mixtures of peracetic and acetic acid, hydrogen peroxide, anionic

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surface active compounds such as sulfonates and sulfates, and water.

Peracetic acid has been shown to be a good biocide, but only at fairly high concentrations (generally greater than 100 parts per million (ppm)). Similarly, peroxyfatty acids have also been shown to be biocidal, but only at high concentrations (greater than 200 ppm), such as in the composition disclosed in European Patent Application No. 233,731.

10 Antimicrobial compositions having low use concentrations (less than 100 ppm) which effectively kill microbes are particularly desirable. Low concentrations minimize use cost, surface corrosion, odor, carryover of biocide into foods and potential toxic effects to the user.

15 Therefore, a continuing need exists to provide such an antimicrobial composition for use in food processing, food service and health care facilities. In contrast to the prior art, the composition of the present invention has the unique advantage of having antimicrobial or biocidal

20 activity at low level use concentrations.

#### Summary of the Invention

The invention is a peroxyacid antimicrobial concentrate and diluted end use composition comprising an effective 25 microbicidal amount of a C<sub>1</sub>-C<sub>4</sub> peroxycarboxylic acid, and an effective microbicidal amount of a C<sub>6</sub>-C<sub>18</sub> peroxyacid. The concentrate composition can be diluted with a major proportion of water to form an antimicrobial sanitizing use solution having a pH in the range of about 2 to 8, with a 30 C<sub>1</sub>-C<sub>4</sub> peroxycarboxylic acid concentration of at least about 10 ppm, preferably about 10 to 75 ppm, and a C<sub>6</sub>-C<sub>18</sub> peroxyacid concentration of at least about 1 ppm, preferably about 1 to 25 ppm. Other components may be added such as a hydrotrope coupling agent for solubilizing

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the peroxyfatty acid in the concentrate form and when the concentrate composition is diluted with water.

In contrast to the prior art, we have discovered that at a low pH (e.g. preferably less than 5),  $C_6-C_{18}$ 5 peroxyacids such as peroxyfatty acids are very potent biocides at low levels. When used in combination with a  $C_1-C_4$  peroxycarboxylic acid such as peroxyacetic acid, a synergistic effect is obtained, providing a much more potent biocide than can be obtained by using these components separately. This means that substantially lower concentrations of biocide can be used to obtain equal cidal effects, leading to lower costs of the product and less potential for corrosion.

As the term is used herein, a  $C_6-C_{18}$  peroxyacid (or peracid) is intended to mean the product of the oxidation of a C6-C18 acid such as a fatty acid, or a mixture of acids, to form a peroxyacid having from about 6 to 18 carbon atoms per molecule. The C1-C4 peroxycarboxylic acid is intended to mean the product of oxidation of a C1-C4 20 carboxylic acid, or a mixture thereof. This includes both simple and substituted  $C_1-C_4$  carboxylic acids.

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A method of sanitizing facilities or equipment comprises the steps of contacting the facilities or equipment with the use solution made from the above 25 concentrate composition of the invention at a temperature in the range of about 4 to 60°C. The composition is then circulated or left in contact with the facilities or equipment for a time sufficient to sanitize (generally at least 30 seconds) and the composition is thereafter drained 30 or removed from the facilities or equipment.

One aspect of the invention is the novel, antimicrobial concentrate composition which is capable of being diluted with a major proportion of water to form a sanitizing use solution. A further aspect of the invention is an aqueous

antimicrobial sanitizing use solution which is particularly suited for "in-place" cleaning applications. A further aspect of the invention is a method of employing the use solution of the invention in the cleaning or sanitizing of various process facilities or equipment as well as other surfaces.

### Detailed Description of the Invention

The invention resides in a peroxyacid antimicrobial

10 concentrate and use composition comprising an effective
microbicidal amount of a C<sub>1</sub>-C<sub>4</sub> peroxycarboxylic acid, and
an effective microbicidal amount of a C<sub>6</sub>-C<sub>18</sub> peroxyacid. We
have found that combining these acids produces a
synergistic effect, producing a much more potent biocide

15 than can be obtained by using these components separately.
The concentrate composition can be diluted with a major
proportion of water to form an antimicrobial sanitizing use
solution having a pH in the range of about 2 to 8. The
sanitizing use solution can be used effectively to clean or
20 sanitize facilities and equipment used in the food
processing, food service and health care industries.

#### Peracids

The present invention is based upon the surprising

25 discovery that when a C<sub>6</sub>-C<sub>18</sub> peroxyacid is combined with a

C<sub>1</sub>-C<sub>4</sub> peroxycarboxylic acid, a synergistic effect is

produced and greatly enhanced antimicrobial activity is

exhibited when compared to the C<sub>6</sub>-C<sub>18</sub> peroxyacid or the C<sub>1</sub>
C<sub>4</sub> peroxycarboxylic acid alone. The present blend of a C<sub>6</sub>
30 C<sub>18</sub> peroxyacid and a C<sub>1</sub>-C<sub>4</sub> peroxycarboxylic acid can

effectively kill microorganisms (e.g., a 5 log<sub>10</sub> reduction

in 30 seconds) from a concentration level below 100 ppm and

as low as 20 ppm of the peracid blend.

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A variety of the  $C_6-C_{18}$  peroxyacids may be employed in the composition of the invention such as peroxyfatty acids, monoperoxy- or diperoxydicarboxylic acids, and peroxyaromatic acids. The C6-C18 peroxyacids employed in 5 the present invention may be structurally represented as follows:  $R_1$ - $CO_3H$ , wherein  $R_1$  is a hydrocarbon moiety having from about 5 to 17 carbon atoms (a C8 peroxyacid is generally represented structurally as C7-CO3H). R1 may have substituents in the chain, e.g., -OH, CO<sub>2</sub>H, or heteroatoms (e.g., -O- as in alkylether carboxylic acids), as long as 10 the antimicrobial properties of the overall composition are not significantly affected. It should be recognized that " $R_1$ " substituents or heteroatoms may change the overall. acidity (i.e., pKa) of the carboxylic acids herein 15 described. Such modification is within the contemplation of the present invention provided the advantageous antimicrobial performance is maintained. Furthermore, R1 may be linear, branched, cyclic or aromatic. Preferred hydrocarbon moieties (i.e. preferred R<sub>1</sub>'s) include linear, 20 saturated, hydrocarbon aliphatic moieties having from 7 to 11 carbon atoms (or 8 to 12 carbon atoms per molecule).

Specific examples of suitable C<sub>6</sub>-C<sub>18</sub> carboxylic fatty acids which can be reacted with hydrogen peroxide to form peroxyfatty acids include such saturated fatty acids as

25 hexanoic (C<sub>6</sub>), enanthic (heptanoic) (C<sub>7</sub>), caprylic (octanoic) (C<sub>8</sub>), perlargonic (nonanoic) (C<sub>9</sub>), capric (decanoic) (C<sub>10</sub>), undecyclic (undecanoic) (C<sub>11</sub>), lauric (dodecanoic) (C<sub>12</sub>), trideclic (tridecanoic) (C<sub>13</sub>), myristic (tetradecanoic) (C<sub>14</sub>), palmitic (hexadecanoic) (C<sub>16</sub>), and

30 stearic (octodecanoic) (C<sub>18</sub>). These acids can be derived from both natural and synthetic sources. Natural sources include animal and vegetable fats or oils which should be fully hydrogenated. Synthetic acids can be produced by the oxidation of petroleum wax. Particularly preferred

peroxyfatty acids for use in the composition of the invention are linear monoperoxy aliphatic fatty acids such as peroxyoctanoic acid, peroxydecanoic acid, or mixtures thereof.

Other suitable C<sub>6</sub>-C<sub>18</sub> peroxyacids are derived from the oxidation of dicarboxylic acids and aromatic acids. Suitable dicarboxylic acids include adipic acid (C<sub>6</sub>) and sebacic acid (C<sub>10</sub>). An example of a suitable aromatic acid is benzoic acid. These acids can be reacted with hydrogen peroxide to form the peracid form suitable for use in the composition of the invention. Preferred peracids in this group include monoperoxy- or diperoxyadipic acid, monoperoxy- or diperoxysebacic acid, and peroxybenzoic acid.

The above peroxyacids provide antibacterial activity against a wide variety of microorganisms, such as gram positive (e.g., Staphylococcus aureus) and gram negative (e.g., Escherichia coli) microorganisms, yeast, molds, bacterial spores, etc. When the above C<sub>6</sub>-C<sub>18</sub> peroxyacids are combined with a C<sub>1</sub>-C<sub>4</sub> peroxycarboxylic acid, greatly enhanced activity is shown compared to the C<sub>1</sub>-C<sub>4</sub> peroxycarboxylic acid alone or the C<sub>6</sub>-C<sub>18</sub> peroxyacid alone.

The C<sub>1</sub>-C<sub>4</sub> peroxycarboxylic acid component can be derived from a C<sub>1</sub>-C<sub>4</sub> carboxylic acid or dicarboxylic acid by reacting the acid with hydrogen peroxide. Examples of suitable C<sub>1</sub>-C<sub>4</sub> carboxylic acids include acetic acid, propionic acid, glycolic acid, and succinic acid. Preferable C<sub>1</sub>-C<sub>4</sub> peroxycarboxylic acids for use in the composition of the invention include peroxyacetic acid, peroxypropionic acid, peroxyglycolic acid, peroxysuccinic acid, or mixtures thereof.

The antimicrobial concentrate of the present invention can comprise about 0.01 to 10 wt-%, preferably about 0.05 to 5 wt-%, and most preferably about 0.1 to 2 wt-% of a  $C_6$ -

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C<sub>18</sub> peroxyacid, and about 0.1 to 25 wt-%, preferably about 0.5 to 20 wt-%, and most preferably about 1 to 15 wt-% of a  $C_1-C_4$  peroxycarboxylic acid. The concentrate composition preferably has a weight ratio of C1-C4 peroxycarboxylic 5 acid to C<sub>6</sub>-C<sub>18</sub> peroxyacid of about 15:1 to 3:1. concentrate contains sufficient acid so that the end use solution has a pH of about 2 to 8, preferably about 3 to 7. Some acidity may come from an inert acidulant which may be optionally added (e.g., phosphoric acid).

The peracid components used in the composition of the 10 invention can be produced in a simple manner by mixing a hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) solution with the desired amount of acid. With the higher molecular weight fatty acids, a hydrotrope coupler may be required to help solubilize the fatty acid. The  $H_2O_2$  solution also can be added to 15 previously made peracids such as peracetic acid or various perfatty acids to produce the peracid composition of the invention. The concentrate can contain about 1 to 50 wt-%, preferably about 5 to 25 wt-% of hydrogen peroxide.

20

The concentrate composition can further comprise a free  $C_6-C_{18}$  carboxylic acid, a free  $C_1-C_4$  carboxylic acid, or mixtures thereof. the free acids will preferably correspond to the starting materials used in the preparation of the peroxyacid components. The free C6-C18 25 carboxylic acid is preferably linear and saturated, has 8 to 12 carbon atoms per molecule, and can also comprise a mixture of acids. The free  $C_6-C_{18}$  carboxylic acid and free C<sub>1</sub>-C<sub>4</sub> carboxylic acid can be present as a result of an equilibrium reaction with the hydrogen peroxide to form the 30 peroxyacids.

#### Optional Components

Various optional materials may be added to the composition of the invention to help solubilize the fatty

acids, restrict or enhance the formation of foam, to control hard water, to stabilize the composition, or to further enhance the antimicrobial activity of the composition.

The composition of the invention can contain a surfactant hydrotrope coupling agent or solubilizer that permits blending short chain perfatty acids in aqueous Functionally speaking, the suitable couplers which can be employed are non-toxic and retain the fatty 10 acid and the perfatty acid in aqueous solution throughout the temperature range and concentration to which a concentrate or any use solution is exposed.

Any hydrotrope coupler may be used provided it does not react with the other components of the composition or 15 negatively affect the antimicrobial properties of the composition. Representative classes of hydrotropic coupling agents or solubilizers which can be employed include anionic surfactants such as alkyl sulfates and alkane sulfonates, linear alkyl benzene or naphthalene 20 sulfonates, secondary alkane sulfonates, alkyl ether sulfates or sulfonates, alkyl phosphates or phosphonates, dialkyl sulfosuccinic acid esters, sugar esters (e.g., sorbitan esters) and C<sub>8</sub>-C<sub>10</sub> alkyl glucosides. Preferred coupling agents for use in the present invention include n-25 octanesulfonate, available as NAS 8D from Ecolab, and the commonly available aromatic sulfonates such as the alkyl benzene sulfonates (e.g. xylene sulfonates) or naphthalene sulfonates.

Some of the above hydrotropic coupling agents 30 independently exhibit antimicrobial activity at low pH. This adds to the efficacy of the present invention, but is not the primary criterion used in selecting an appropriate coupling agent. Since it is the presence of perfatty acid in the protonated neutral state which provides biocidal

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activity, the coupling agent should be selected not for its independent antimicrobial activity but for its ability to provide effective interaction between the substantially insoluble perfatty acids described herein and the microorganisms which the present compositions control.

The hydrotrope coupling agent can comprise about 0.1 to 30 wt-%, preferably about 1 to 20 wt-%, and most preferably about 2 to 15 wt-% of the concentrate composition.

Compounds such as mono, di and trialkyl phosphate 10 esters may be added to the composition to suppress foam. Such phosphate esters would generally be produced from aliphatic linear alcohols, there being from 8 to 12 carbon atoms in the aliphatic portions of the alkyl phosphate 15 esters. Alkyl phosphate esters possess some antimicrobial activity in their own right under the conditions of the present invention. This antimicrobial activity also tends to add to the overall antimicrobial activity of the present compositions even though the phosphate esters may be added for other reasons. Furthermore, the addition of nonionic 20 surfactants would tend to reduce foam formation herein. Such materials tend to enhance performance of the other components of the composition, particularly in cold or soft water. A particularly useful nonionic surfactant for use as a defoamer is nonylphenol having an average of 12 moles of ethylene oxide condensed thereon, it being encapped with a hydrophobic portion comprising an average of 30 moles of propylene oxide.

Chelating agents can be added to the composition of the invention to enhance biological activity, cleaning performance and stability of the peroxyacids. For example, 1-hydroxyethylidene-1,1-diphosphonic acid commercially available from the Monsanto Company under the designation "DEQUEST" has been found to be effective. Chelating agents

can be added to the present composition to control or sequester hardness ions such as calcium and magnesium. In this manner both detergency and sanitization capability can be enhanced.

Other materials which are sufficiently stable at the low pH contemplated by the present composition may be added to the composition to impart desirable qualities depending upon the intended ultimate use. For example, phosphoric acid (H<sub>3</sub>PO<sub>4</sub>) can be added to the composition of the invention. Additional compounds can be added to the concentrate (and thus ultimately to the use solution) to change its color or odor, to adjust its viscosity, to enhance its thermal (i.e., freeze-thaw) stability or to provide other qualities which tend to make it more

The composition of the invention can be made by combining by simple mixing an effective amount of a C6-C18 peroxyacid such as a peroxyfatty acid with some source of a C<sub>1</sub>-C<sub>4</sub> peroxycarboxylic acid such as peroxyacetic acid. 20 This composition would be formulated with preformed perfatty acid and preformed peroxyacetic acid. A preferred composition of the invention can be made by mixing a  $C_1$ - $C_4$ carboxylic acid, an aliphatic  $C_6-C_{18}$  carboxylic acid, optionally a coupler and a stabilizer, and reacting this 25 mixture with hydrogen peroxide. A stable equilibrium mixture is produced containing a C1-C4 peroxycarboxylic acid and an aliphatic  $C_6-C_{18}$  peroxyacid by allowing the mixture to stand for from one to seven days at 15°C to 25°C. As with any aqueous reaction of hydrogen peroxide 30 with a free carboxylic acid, this gives a true equilibrium In this case, the equilibrium mixture will mixture.

contain hydrogen peroxide, an unoxidized  $C_1$ - $C_4$  carboxylic acid, an unoxidized aliphatic  $C_6$ - $C_{18}$  carboxylic acid, a  $C_1$ -

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 $C_4$  peroxycarboxylic acid, an aliphatic  $C_6$ - $C_{18}$  peroxyacid, and optionally various couplers and stabilizers.

By using the above approach, the composition of the invention can be formulated by merely mixing readily

5 available raw materials, e.g., acetic acid, hydrogen peroxide and fatty acid. By allowing solution time for equilibrium to be obtained, the product containing both of the active biocides is obtained. In varying the ratio of C<sub>1</sub>-C<sub>4</sub> carboxylic acid to C<sub>6</sub>-C<sub>19</sub> carboxylic acid, it is easy to vary the ratio of C<sub>1</sub>-C<sub>4</sub> peroxycarboxylic acid to C<sub>6</sub>-C<sub>18</sub> peroxyacid.

## Concentrate and Use Compositions

The present invention contemplates a concentrate composition which is diluted to a use solution prior to its utilization as a sanitizer. Primarily for reasons of economics, the concentrate would normally be marketed and the end user would dilute the concentrate with water to a use solution. A preferred antimicrobial concentrate composition comprises about 0.01 to 10 wt-%, preferably about 0.05 to 5 wt-%, of a C<sub>6</sub>-C<sub>18</sub> peroxyfatty acid, about 0.1 to 25 wt-%, preferably about 0.5 to 20 wt-%, of a C<sub>1</sub>-C<sub>4</sub> peroxycarboxylic acid, about 0.1 to 30 wt-% of a hydrotrope coupling agent, and about 1 to 50 wt-% of hydrogen peroxide. Other acidulants may optionally be employed in the composition such as phosphoric acid.

The level of active components in the concentrate composition is dependent upon the intended dilution factor and desired acidity in the use solution. The C<sub>6</sub>-C<sub>18</sub> peroxyacid component is generally obtained by reacting a 30 C<sub>6</sub>-C<sub>18</sub> carboxylic acid with hydrogen peroxide in the presence of a C1-C4 carboxylic acid. The resulting concentrate is diluted with water to provide the use solution. Generally, a dilution of 1 fluid oz. to 4 gallons (i.e. dilution of 1 to 500 by volume) or to 8

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gallons (i.e. dilution of 1 to 1,000 by volume) of water can be obtained with 2% to 20% total peracids in the concentrate. Higher use dilution can be employed if elevated use temperature (greater than 20° C) or extended exposure time (greater than 30 seconds) are also employed.

In its intended end use, the concentrate is diluted with a major proportion of water and used for purposes of sanitization. The typical concentrate composition described above is diluted with available tap or service 10 water to a formulation of approximately 1 oz. concentrate to 8 gallons of water. An aqueous antimicrobial sanitizing use solution comprises at least about 1 part per million (ppm), preferably about 2 to 10 ppm of a  $C_6-C_{18}$  peroxyacid, and at least about 10 ppm, preferably about 20 to 50 ppm of 15 a  $C_1$ - $C_4$  peroxycarboxylic acid. The weight ratio of  $C_6$ - $C_{18}$ peroxyacid to C1-C4 peroxycarboxylic acid ranges from about 0.01 to 0.5 parts, preferably about 0.02 to 0.2 parts of  $C_6-C_{18}$  peroxyacid per part of  $C_1-C_4$  peroxycarboxylic acid. Preferably the total peracid concentration in the use 20 solution is less than about 75 ppm, and most preferably between about 5 to 50 ppm. Higher levels of peracids can be employed in the use solution to obtain disinfecting or sterilizing results.

The aqueous use solution can further comprise at least about 1 ppm, preferably about 2 to 20 ppm, of a hydrotrope coupling agent, at least about 1 ppm, preferably about 2 to 200 ppm of hydrogen peroxide, and at least about 1 ppm, preferably about 2 to 200 ppm of a free C<sub>6</sub>-C<sub>18</sub> carboxylic acid, a free C<sub>1</sub>-C<sub>4</sub> carboxylic acid, or mixtures thereof.

The aqueous use solution has a pH in the range of about 2 to 8, preferably about 3 to 7.

### Methods of Use

As noted above, the present composition is useful in the cleaning or sanitizing of processing facilities or

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equipment in the food service, food processing or health care industries. Examples of process facilities in which the composition of the invention can be employed include a milk line dairy, a continuous brewing system, food

5 processing lines such as pumpable food systems and beverage lines, etc. Food service wares can also be disinfected with the composition of the invention. The composition is also useful in sanitizing or disinfecting solid surfaces such as floors, counters, furniture, medical tools and equipment, etc., found in the health care industry. Such surfaces often become contaminated with liquid body spills such as blood, other hazardous body fluids or mixtures thereof.

Generally, the actual cleaning of the in-place system

or other surface (i.e., removal of unwanted offal therein)
is accomplished with a different material such as a
formulated detergent which is introduced with heated water.
After this cleaning step, the instant sanitizing
composition would be applied or introduced into the system

at a use solution concentration in unheated, ambient
temperature water. The present sanitizing composition is
found to remain in solution in cold (e.g., 40°F/4°C) water
and heated (e.g., 140°F/60°C) water. Although it is not
normally necessary to heat the aqueous use solution of the

present composition, under some circumstances heating may
be desirable to further enhance its antimicrobial activity.

A method of sanitizing substantially fixed in-place process facilities comprises the following steps. The use composition of the invention is introduced into the process 30 facilities at a temperature in the range of about 4 to 60°C. After introduction to the use solution, the solution is circulated throughout the system for a time sufficient to sanitize the process facilities (i.e., to kill undesirable microorganisms). After the system has been

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sanitized by means of the present composition, the use solution is drained from the system. Upon completion of the sanitizing step, the system optionally may be rinsed with other materials such as potable water. 5 composition is preferably circulated through the process facilities for 10 minutes or less.

The composition may also be employed by dipping food processing equipment into the use solution, soaking the equipment for a time sufficient to sanitize the equipment, and wiping or draining excess solution off the equipment. The composition may be further employed by spraying or wiping food processing surfaces with the use solution, keeping the surfaces wet for a time sufficient to sanitize the surfaces, and removing excess solution by wiping, 15 draining vertically, vacuuming, etc.

The composition of the invention may also be used in a method of sanitizing hard surfaces such as institutional type equipment, utensils, dishes, health care equipment or tools, and other hard surfaces. The composition may also 20 be employed in sanitizing clothing items or fabric which has become contaminated. The use composition is contacted with any of the above contaminated surfaces or items at use temperatures in the range of about 4 to 60°C., for a period of time effective to sanitize, disinfect, or sterilize the 25 surface or item. For example, the concentrate composition can be injected into the wash or rinse water of a laundry machine and contacted with contaminated fabric for a time sufficient to sanitize the fabric. Excess solution can then be removed by rinsing or centrifuging the fabric.

30 As the term "sanitizing" is used in the method of the instant invention, it means a reduction in the population numbers of undesirable microorganisms by about 5 powers of 10 or greater (i.e., at least 5 orders of magnitude) after a 30 second exposure time. It is to be emphasized that the

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instant use solution provides cleaning as well as sanitizing performance although its primary utility is sanitizing. The composition may also be used to achieve disinfection or sterilization (i.e., elimination of all microorganisms) by employing higher levels of peracids in the use solution.

The following Examples are intended to illustrate the above invention and should not be construed as to narrow its scope. One skilled in the art will readily recognize that these Examples suggest many other ways in which the present invention could be practiced.

#### Example 1

Experiments were conducted to determine the

15 antimicrobial efficacy of pure peroxyacids. Table I below
demonstrates the antimicrobial efficacy of pure peroxyacids
at very low levels when exposed to <u>S. aureus</u> and <u>E. coli</u>.
The peroxyacids listed in Table I were tested by diluting
them in 0.05 <u>M</u> citrate buffer made in distilled water and

20 were exposed to the bacteria for 30 seconds at 20°C. As
Table I indicates, the diperoxyacids were somewhat less
active than the peroxyfatty acids. Peroxydecanoic acid was
very effective at very low levels against <u>S. aureus</u>, but
higher levels were required to be effective against <u>E.</u>

25 <u>coli</u>. Higher levels were also required at pH 5.

TABLE I

Comparison of Cidal Activity of Peroxyacids	pH Minimum concentration required for log reduction (ppm) (a) S. aureus	lc 3.5 15 15 5.0 20 15	ic 3.5 >50 40 5.0 >60 35	ic 3.5 5 5 5.0 10 15	ic 3.5 3 10 5.0 1 30	cic 3.5 15 15 5.0 5.0
Comp		3.5	3.5		 	3.5
	Peroxyacid	Peroxyhexanoic (C <sub>6</sub> )	Diperoxyacipic (C <sub>6</sub> )	Peroxyoctanoic (C <sub>8</sub> )	15 Peroxydecanoic (C <sub>10</sub> )	Diperoxysebacic (C <sub>10</sub> )
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3, and 5 ppm where at (a) - Peroxyacids tested at 5-ppm increments, or appropriate.

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In Table II below, the antimicrobial synergism between the C<sub>2</sub> and C<sub>3</sub> peroxyacids when combined with C<sub>8</sub> and C<sub>10</sub> peroxyfatty acids is shown. As Table II shows, there was little or no antimicrobial activity when the C<sub>2</sub> and C<sub>3</sub>

5 peroxyacids and the C<sub>8</sub> and C<sub>10</sub> peroxyfatty acids were tested alone. However, when a C<sub>2</sub> or C<sub>3</sub> peroxyacid was combined with a C<sub>8</sub> or C<sub>10</sub> peroxyfatty acid, the bacterial kill of E. coli multiplied exponentially. These tests were conducted at pH 4.5 or 5, the pH at which E. coli is more difficult to kill (see Table II).

93/0	1716	ı				-	18 -	1
	Log		0°3.1°3.	0.3	3.9°	0.7 <sup>a</sup>	2.6	
TABLE II	[Peroxyoctanoic] [Peroxydecanoic]	mďď	O w w	o 0	9	0 6		
	(Perox)			25	0 25			coli, pH 5, distilled water coli, pH 4.5, 500 ppm hard water
	C <sub>2</sub> [Peroxyacetic]	mdď)	25 0 25	3		30	30	B. CO]
		ഹ	Ç	2	!	15		20

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#### Example 2

A mixture of short chain fatty acids commercially available from Emery Corporation under the designation "EMERY 658" was employed in producing a sanitizing 5 concentrate composition of the present invention. "EMERY 658" acid is a mixture of caprylic acid  $(C_8)$  and capric acid  $(C_{10})$ . The perfatty acids were prepared by the method of Parker, et al., J. Amer. Chem. Soc., 77, 4037 (1955) which is incorporated by reference. The perfatty 10 acid component (also containing 34% acetic acid and 10% hydrogen peroxide) was combined with a pre-made solution of 10.42% peracetic acid, a separate amount of acetic acid, water, and an n-octanesulfonate hydrotrope coupler (NAS) 8D). The final composition of this Example was as listed in Table III.

#### Example 3

A second composition of the present invention was prepared as described in Example 2, except that caprylic 20 acid  $(C_8)$  and capric acid  $(C_{10})$  replaced some of the perfatty acid of Example 2. The concentration of peracetic acid was 5% while the concentration of perfatty acids was reduced to 1.5% (see Table III).

25 Example 4

15

ב ז אמריבינת יוור יוור מייוי ביינה ביינה

The composition of Example 4 was prepared according to the procedure of Example 2, except that no peracetic acid or hydrogen peroxide was added to the composition. acetic acid component was increased to 39 wt-% and the 30 composition contained 5% perfatty acid (see Table III). Also, a chelating agent (Dequest 2010) was added to the composition.

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#### Example 5

The composition of Example 5 was prepared the same as
Example 4 except that caprylic acid and capric acid were
added to the composition in addition to the percaprylic and
percapric acid of Example 4. The composition contained
3.5% fatty acid and 1.5% perfatty acid (see Table III).

#### Example 6

Example 6 was prepared with only peracetic acid, acetic acid, hydrogen peroxide, and water. No perfatty acids or fatty acids were added to the composition of Example 6.

The concentration of total peracid was about 5% and the acetic acid concentration was about 39% (see Table III).

15 <u>Example 7</u>

Example 7 was prepared the same as Example 5 except that to peracids were employed, only a mixture of fatty acids and acetic acid was used, along with water, NAS 8D, and Dequest 2010. The composition contained 5% fatty acid (see Table III).

20

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#### TABLE III

		Wt-% of Ingredients						
_	Ingredient	Ex.2	Ex.3	Ex.4	Ex.5	<u>Ex.6</u>	<u>Ex.7</u>	
10	Peracetic Acid (10.42% solution, 34% acetic acid, 10% H <sub>2</sub> O <sub>2</sub> )	50	50		<del></del>	50	<b></b> ,	
	Acetic Acid	22	22	39	39	22	39	
15	Percaprylic Acid (C <sub>8</sub> )	3.75	1.125	3.75	1.125			
	Percapric Acid (C <sub>10</sub> )	1.25	0.375	1.25	0.375			
20	Caprylic Acid (C <sub>8</sub> )		2.625		2.625		3.75	
	Capric Acid (C <sub>10</sub> )		0.875		0.875		1.25	
25	NAS 8D	10	10	10	10		10	
	Water	13	13	45	45	28	45	
30	Dequest 2010			1	1		1	

# Antimicrobial Efficacy of Examples 2-7

The compositions prepared according to Examples 2-7
were tested for their antimicrobial efficacy using the
testing procedure of the standard A.O.A.C. sanitizing test.
All of the samples tested of Examples 2-7 were made about 1
hour prior to testing. The bacteria used in the test
procedure were <u>S. aureus</u> and <u>E. coli</u>. Distilled water was
used to dilute the concentrate compositions of Examples 2-7
and the composition was employed at room temperature. The
following neutralizers were employed in the test: 0.1%
thiosulfate, peptone, 0.5% K<sub>2</sub>HPO<sub>4</sub>, 0.025% catalase for
peracetic acid; chambers for fatty acid; 0.1% thiosulfate,

peptone, 0.025% catalase for peracetic acid/fatty acid (perfatty acid).

The antimicrobial activity of Examples 2-7 are summarized in Table IV. Examples 2 and 3 were tested using 5 four samples (a,b,c,d) and Examples 4-7 were tested using two samples (a,b). As can be seen in Table IV, Examples 2-5 exhibited excellent kill (> log 6) of both S. aureus and E. coli at 50 ppm of peracid. Examples 6 and 7 (containing no perfatty acids) exhibited little or no activity. specifically, Example 2 was tested at 1,000 and 500 ppm 10 total product (50 and 25 ppm of both peroxyacetic acid and perfatty acid). At these low concentrations, the peracid combination gate a 6-7 log reduction in the bacterial count. Example 3 was tested at 1,000 and 500 ppm total product, and also had a 6-7 log reduction in the bacterial 15 count. At the 500 ppm product concentration the product corresponds to 25 ppm of peroxyacetic acid and 7.5 ppm of perfatty acids. Example 4, at 1,000 ppm of total product (50 ppm of perfatty acid), completely killed all bacteria (greater than 7 log reduction). Example 5 also resulted in 20 a complete kill using 1,000 ppm of total product (15 ppm perfatty acid). Example 6 contained no perfatty acid (only 50 ppm of peroxyacetic acid) and showed no activity against S. aureus and poor activity against E. coli. This is due to the fact that peroxyacetic acid is generally not 25 effective at this level, and is generally used at concentrations greater than 100 ppm. Example 7, containing 5% fatty acid (30 ppm) and no perfatty acid at 1,000 ppm total product showed no activity toward either organism.

				TABLE IV			
			Test Product	POAA <sup>1</sup> /POFA <sup>2</sup> /FA <sup>3</sup>		-	11,2
5	EX.	Sample	(mdd)	(mdd)	띰	S. aureus	E. coli
	7	៧	1000	.5	•	6.13	>7.30
		Ω	1000	5	3.5	6.52	7.30
		ບ	500	2	•	6.63	7.00
10		ซ	200	_	3.68	6.78	7.30
	m	Ø.	1000	/15/3	•	7.18	7.30
		Q	1000	7	•	6.63	6.90
		υ	200	25/7.5/17.5	3.68	6.70	6.76
		ט	200	`	•	7.18	7.00
15	4	Ø	1000	10	•	>7.18	>7.30
		Д	1000	10	•	>7.18	>7,30
	ς,	Ø	1000	15,	•	>7.18	>7.30
		a ·	1000	15/3	•	>7.18	>7.30
	9	๗	1000	<u>`</u> 0/	3.49	NMA <sup>4</sup>	3.48
20		Q	1000	0/	3.49	NMA	3.80
	7	מז	1000	7,3	3.46	NMA	NMA
		q	1000	0/0/30	3.46	NMA	NMA
	-	POAA = P	eroxyacetic Acid				
25	2 -	POFA = P	- POFA = Peroxyfatty Acid			•	
,	ب 1	FA = F	atty Acid				
	4	NMA = N	o measurable activity				

#### Examples 8-11

Examples 8-11 were prepared by substantially the same procedure as the previous Examples, except that hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) was mixed with acetic acid and C<sub>8-10</sub> fatty acids (Emery 658) to make the peracids of the composition. Table V summarizes the components and amounts of the various compositions of Examples 8-11 which were made.

TA	n	т	r	7.7	r
TA	.D	ш	Ŀ	v	

10		Peracid Test Formulations					
	Ingredient	Ex. 8	Ex. 9	Ex. 10	Ex. 11		
	Acetic Acid	44	39	34	49		
	H <sub>2</sub> O <sub>2</sub> (35%)	40	40	40	40 .		
	Dequest 2010	1	1	1	1		
15	NAS 8D	10	10	10	10		
	Emery 658	5	10	15			

# Peracid Stability, Cidal Activity of Examples 8-11

20

Each of Examples 8-11 were tested for peracid stability and cidal activity using the A.O.A.C. sanitizing test against <u>S. aureus</u> and <u>E. coli</u> at room temperature with the formulations diluted in distilled water. Tables VI-IX show the cidal activity of each formulation. Generally all of the formulations reached maximum peracid formation within about 12 days. All of the formulations obtained about 12.5% peracid except Example 10 (15% fatty acid) which obtained about 11.5% peracid.

30 Table VI summarizes the cidal activity of Example 8 in which the composition was measured for cidal activity on the first day up to day 33. At 250 ppm of total product, there were about 4-5 ppm of perfatty acid and about 20 ppm of peracetic acid as determined by carbon 13 NMR 35 spectroscopy. The results are summarized in Table VI.

TABLE VI

Peracid Stability,
Cidal Activity of Example 8

5						
3	<u>Day</u>	Peracid Percent	Test <sup>(a)</sup> <u>Concentrati</u>	Test on pH	Ave. Log S. aureus	Reduction E. coli
	1	4.28	250 ppm	3.92	6.28	NMA (b)
10	6	11.00	250 ppm	3.91	>7.38	>7.18
	8	11.08	250 ppm	3.86	>7.11	>7.12
	12	12.43	250 ppm	3.83	>7.18	6.96
	15	12.74	250 ppm	3.88	6.95	
	33	10.18	250 ppm	3.83	5.18	6.34
15						

<sup>(</sup>a) ppm total product

The cidal activity of Example 9 is summarized in Table 20 VII below. The peracetic acid concentration at 250 ppm of product was about 20-21 ppm and the concentration of perfatty acid was about 11 ppm. The concentration of peracetic acid at 50 ppm of product was about 4 ppm and the concentration of perfatty acid was about 2 ppm.

<sup>(</sup>b) No measurable activity

TABLE VII

Peracid Stability,
Cidal Activity of Example 9

_							
5	Day	Peracid Percent	Tes:	t <sup>(a)</sup> centration	Test pH	Ave. Log S. aureus	Reduction E. coli
	1	4.88	250	ppm	3.95	>7.60	NMA (b)
10	6	10.62	250	ppm	3.92	>7.38	>7.18
	8	11.61	250	ppm	3.98	>7.11	>7.12
	12	12.47	250	ppm	3.91	>7.18	>7.23
	15	12.00	250	ppm	3.95	6.95	<u></u>
			120	ppm	4.18	>7.13	. · 
15			50	ppm	4.41	6.39	
	33	10.49	250	ppm	3.85	5.20	6.22

<sup>(</sup>a) ppm total product

<sup>(</sup>b) No measurable activity

The cidal activity of Example 10 is summarized in Table VIII below. At 250 ppm of product the peracetic acid concentration was about 19 ppm and the perfatty acid concentration was about 14 ppm.

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TABLE VIII

Peracid Stability,
Cidal Activity of Example 10

5	Day	Peracid Percent	Test <sup>(a)</sup> Concentration	Test pH	Ave. Log I	Reduction E. coli
	1	4.84	250 ppm	3.90	>7.60	NMA <sup>(b)</sup> 4.04
10	6	9.81	250 ppm	3.96	>7.38	>7.18
	8	10.99	250 ppm	3.96	>7.11	>7.12
	12	11.47	250 ppm	3.94	>7.18	>7.23
	15	11.48	250 ppm	3.96	6.83	
15	33	10.49	250 ppm	3.95	5.25	6.53

<sup>(</sup>a) ppm total product

The cidal activity of Example 11 is summarized in Table IX below. At 250 ppm of product there was about 27 ppm of peracetic acid. At 1000 ppm of product there was about 108 ppm of peracetic acid. No fatty acid was employed in the composition of Example 11.

<sup>(</sup>b) No measurable activity

TABLE IX Cidal Activity of Example 11

5	Day	Peracid Percent	Test <sup>(a)</sup> Concentration	Test pH	Ave. Log S. aureus	Reduction E. coli
	5	10.95	250 ppm	3.90	NMA (b)	NMA
	7	12.03	1000 ppm	3.50	4.60	>7.12
	11	12.44	1000 ppm	3.49	6.38	6.64
10	14	12.53	1000 ppm	3.50	4.17	
	32	10.77	1000 ppm	3.45	4.77	6.44

<sup>(</sup>a) ppm total product

15

20

When comparing the formulations containing fatty acid (Tables VI-VIII), poor activity was measured against E. coli one day after being formulated. Since the total peracid values were low, more fatty acid was present and gram negative bacteria tend to be less sensitive than gram positive bacteria to the  $C_8$ - $C_{10}$  fatty acids. However, as more peracid developed over the days indicated, increased cidal activity against E. coli was observed. Table IX indicates that to obtain acceptable activity (greater than 25 or equal to 5 log reduction) using only peracetic acid, the peracetic acid must be tested over 100 ppm active. Secondly, this oxidizing compound is more effective against E. coli than S. aureus.

Generally all the formulations containing fatty acid 30 remain stable after about 1 month. This was confirmed by repeated testing over time at 250 ppm total product for each formulation in which greater than 5 log reductions were measured against S. aureus and E. coli.

<sup>(</sup>b) No measurable activity

#### Examples 12-17

The cidal activity of a two-component system containing both peracetic acid and fatty acid was investigated using the A.O.A.C. sanitizing test. Table X shows the product formulations examined. The test samples include controls showing cidal activity of NAS 8D as well as fatty acid kill against <u>S. aureus</u>. All the samples were tested in distilled water.

10	TABLE X
----	---------

		Wt-% Ingredient						
	Ingredient	Ex.12	Ex.13	Ex.14	Ex.15	Ex.16	Ex.17	_
	Base 1 <sup>(a)</sup>	80	80	80	80		<b></b> ·	
15	Base 2 <sup>(b)</sup>					80	80	
	NAS 8D	10		10	10	10	10	
	Octanoic Acid			10			10	
	Emery 658				10	10		
	H <sub>2</sub> O	10	20					

20

Table XI shows the activity measurement of each of Examples 12-17 at various test concentrations. When testing the peracetic acid formulation of Examples 12 and 13 (having no fatty acid), biocidal activity occurred only at 100 ppm or greater. Cidal activity (greater than 4 log reduction) was measured at a minimal concentration of 10 ppm peracid with fatty acid in the system (Example 14). At 10 ppm peracid, the composition containing Emery 658 (Example 15) had better activity than the system containing only C<sub>8</sub> (octanoic) fatty acid (Example 14). In the fatty

 $<sup>^{(</sup>a)}$   $H_2O_2$ , 35%; acetic acid, 35%; Dequest 2010, 1%;  $H_3PO_4$  (85%), 29%.

<sup>(</sup>b) Acetic acid, 35%; Dequest 2010, 1%; H<sub>3</sub>PO<sub>4</sub> (85%), 29%; H<sub>2</sub>O, 35%.

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acid controls (Examples 16 and 17), the Emery 658 had more cidal activity than the C<sub>8</sub> fatty acid. At total product test concentrations equivalent to 10 or 25 ppm peracid, the fatty acid in the system of Example 16 did not have significant cidal activity. Example 17 did not have significant cidal activity at any test concentration.

TABLE XI
Peracid Cidal Activity Against S. aureus

		Peraciu	CIGAT MCCI	LATEA 1	<u>qariis</u>	c o. aur	<u>eus</u>		
		Peracid	Concentra	ation	Test	Log <sup>(a)</sup>			
5	<u>Example</u>	(%)	(ppm Pera			Reduction	<u>n</u>		
3	12	7.02	50		2.79				•
			100		2.54				
			150		2.41				
	13	6.25	50		2.76				
10			100		2.52				
		0 00	150		2.40				
	14	9.32	10 25		3.52 3.16				•
			50		2.90				
15	15	9.73	10		3.50				
13	13	5.75	25		3.19				
			50		2.88				
	16		(c)		3.53	0.70			
			(c-1)		3.18				
20			(c-2)		2.88				
	17		<sup>(d)</sup>		3.51				
			(d-2)			0.66			
			(4-2)			0.97			
25									
23	(a)	Average c	of duplicat	te tes	ting.				
	(p)	No measur	able activ	vity.					
30	(c)	Same tota	l product	conce	ntrati	on as Ex	ample	15	@10
			id (about						
	(c-1)		l product				ample	15	<b>@25</b>
		ppm perac	id (about	250 p	pm pro	duct).			
35	(c-2)	0				<b>-</b>			050
			l product				ampre	12	620
		ppm perac	id (about	SUU p	bm bro	duct).			
	(d)	Samo tota	l product	CONCA	ntrati	on as Fy	amnla	٦4	<b>810</b>
40			id (about				ampre	7.4	610
		ppm perue	in (about	100 P	bw bro	duce,.			
	(d-1)	Same tota	1 product	conce	ntrati	on as Ex	ample	14	<b>@25</b>
			id (about				•		
	(1.0)	-	·		_				
45	(d-2)		l product				ample	14	<b>@50</b>
		ppm perac	id (about	500 p	pm pro	oduct).			

The cidal activity of a peracetic acid/fatty acid system was measured comparing freshly made formulations to month-old formulations of Examples 14 and 15. These formulations are shown in Table XII which compares the titration values of month-old formulations to the same freshly prepared. Table XIII shows the cidal activity of month-old and fresh formulations of Examples 14 and 15.

		<u>TAB</u>	LE XII			
10		Peracid Titration Values				
		Ex. 14	Ex. 15	Ex. 14	Ex. 15	
	Date formulated	Month-Old	Month-Old	Fresh	Fresh	
	% H <sub>2</sub> O <sub>2</sub>	2.15	2.07	1.99	1.99	
	% Peracid	5.37	5.35	4.85	4.86	
15	% Total O2	2.14	2.10	1.96	1.96	

TABLE XIII					
Peracid	Cidal	Activity Against	s.	aureus	

5	Example	Peracid (%)	Test Concentration (ppm Peracid)	Test <u>pH</u>	Log <sup>(a)</sup> Reduction
	14 (Month-Old)	5.37	10 25	3.46 3.07	NMA <sup>(b)</sup> >7.48
10	14 (Fresh)	4.85	10 25	3.34 2.97	
	15 (Month-Old)	5.35	10 25	3.52 3.04	
15	15	4.86	10	3.42	NMA <sup>(c)</sup> /3.68
	(Fresh)		25	2.99	7.48

20

25

As can be seen from Table XIII, cidal activity in the peracetic acid/fatty acid system occurs at test concentrations as low as 10 or 25 ppm peracid. Mixed results occurred at 10 ppm peracid between the month-old and fresh formulations of Examples 14 and 15, however, increasing the concentration to 25 ppm resulted in a uniform kill activity (>7 log reduction).

An additional test was run to determine how quickly compounds exhibiting cidal activity are formed upon adding fatty acid to a peracetic acid system. Examples 12, 15 and 16 were tested. Examples 12 and 15 were formulated the day before testing and were day-old samples. Another test sample of Example 15 was formulated immediately prior to testing. Example 16 containing Base 2 (no H<sub>2</sub>O<sub>2</sub>) was used

<sup>(</sup>a) Average of duplicate testing.

<sup>(</sup>b) No measurable activity.

Duplicate testing in which only one sample exhibited cidal activity.

to show cidal activity from the fatty acid at low test concentrations. Table XIV shows the cidal activity of each Example in distilled water against <u>S. aureus</u>.

5		TABLE XIV				
		Cidal	Activity	Against S.	aureus	
	Example	<u>Age</u>	ppm <u>Peracid</u>	Test pH	Log <sup>(e)</sup> Reduction	
10	12	1 day	50	2.94	NMA (b)	
			100	2.71	6.60	
	15	l day	10	3.68	7.02	
			25	3.35	>7.20	
	15	fresh	10	3.76	NMA	
15			25	3.32	NMA	
	16	22 days	(c)	3.74	NMA	
			(d)		NMA	

<sup>20 (</sup>a) Average of duplicate testing.

The data from Table XIV suggests that the formation of compounds containing cidal activity when adding fatty acid to a peracetic acid system is not immediate, but does occur within a day. The formation of compounds exhibiting cidal activity occurred within a day after adding fatty acid to the peracetic acid system as in Example 15 with cidal activity occurring at a concentration as low as 10 ppm

30

<sup>(</sup>b) No measurable activity.

Equivalent total product concentration as Example 15 (day old) @ 10 ppm peracid.

<sup>(</sup>day old) @ 25 ppm peracid.

peracid. Thus, the cidal activity is not due to the mere combination of fatty acid and peroxyacetic acid, but the fatty acid must be converted to the perfatty acid before substantially enhanced cidal activity occurs.

5

## Examples 18-22

A two-component system containing peracetic acid and perfatty acid was formulated and tested to determine its sanitizing activity over just a peracetic acid system.

10 Table XV shows premixes 1 and 2 used in making the composition. The premixes were both made with H<sub>2</sub>O<sub>2</sub> (35% solution), acetic acid, Dequest 2010, and with/without H<sub>3</sub>PO4. Premix 1 was made about 5 months before premix 2. To each premix was added NAS 8D, a C<sub>8</sub> fatty acid or Emery 658 as shown in Table XVI to complete the formulation of Examples 18-21. Example 22 was formulated as a control and had no fatty acid.

TABLE XV
Peracid Premixes

20		Wt-% Component		
	Component	Premix 1	Premix 2	
	H <sub>2</sub> O <sub>2</sub> (35%)	75.0	35.0	
	Acetic acid (glacial)	24.0	35.0	
	Dequest 2010	1.0	1.0	
25	H <sub>2</sub> PO <sub>2</sub> (85%)		29.0	

TABLE XVI
Perfatty Acid/Peracetic Acid Formulations

		Wt-% Ingredient				
5	Ingredient	Ex. 18	Ex.19	Ex.20	(Co: Ex.21	ntrol) Ex.22
	Premix 1	80.0		80.0		
	Premix 2		80.0		80.0	
10	NAS 8D	10.0	10.0	10.0	10.0	
	C <sub>8</sub> Fatty Acid	10.0	10.0			
15	Emery 658			10.0	10.0	
	Acetic Acid (Glacial)					24.0
20	H <sub>2</sub> O <sub>2</sub> (35%)					75.0
	Dequest 2010					1.0

Table XVII shows the sanitizing activity measured from 25 each formulation of Examples 18-22 at 50, 100, or 150 ppm peracetic acid against <u>S. aureus</u>.

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TABLE XVII Sanitizing Efficacy of Perfatty Acid/ Peracetic Acid System vs.

Sanitizing Efficacy of Peracetic Acid System 5 Total

	Example	Total Peracid <sup>(a)</sup> (Percent)	Fatty Acid (Percent)	Test Concentration (ppm)	Test pH	Log <sup>(b)</sup> Reduction
10	18	7.69	10.0	150	3.53	>7.06
				100	3.64	>7.06
				50	3.83	>7.06
	19	11.21	10.0	150	2.71	>7.06
				100	2.80	>7.06
15				50	3.08	>7.06
	20	9.08	10.0	150	3.64	>7.06
				100	3.65	>7.06
				50	3.85	>7.06
	21	10.92	10.0	150	2.68	>7.06
20				100	2.77	>7.06
				50	3.10	>7.06
	22	10.40		150	3.56	7.06
	(Control)	)		100	3.68	3.89
				50	3.93	NMA (c)

25

Extremely good ill (>7 log reduction) was obtained with 35 or without H<sub>3</sub>PO<sub>4</sub> in the perfatty acid formulations of Examples 18-21. The two component system of  $C_8$  fatty acid

<sup>(</sup>a) As peracetic acid

<sup>(</sup>b) 30 Average of duplicate testing against S. aureus.

<sup>(</sup>c) No measurable activity.

or Emery 658 in combination with peracetic acid (Example 18-21) had significantly better kill than the peracetic acid system alone (Example 22) at a test concentration of 50 to 100 ppm. No activity was measured at 50 ppm with the single peracetic acid system of Example 22.

### Example 23-26

The effect of alkyl chain length on antimicrobial efficacy of perfatty acids was determined for percaprylic (C<sub>8</sub>) acid, percapric (C<sub>10</sub>) acid and a percaprylic/percapric (3:1) perfatty acid mixture using the compositions of Examples 23-26 summarized in Table XVIII below.

TABLE XVIII

		Wt-% of Ingredient				
15	Ingredient	Ex.23	Ex.24	Ex.25	Ex.26	
	Percaprylic (C <sub>8</sub> ) Acid	1				
20	Percapric $(C_{10})$ Acid		1			
	C <sub>8</sub> + C <sub>10</sub> (3:1) Perfatty Acid	 i		1		
25	Acetic Acid	10	10	10	10	
	Water	84	84	84	85	
30	NAS 8D	5	5	5	5	

The antimicrobial efficacy of Examples 23-26 are summarized in Table XIX below. Examples 23-25 were tested using three samples (a, b, c) of 5, 10, and 15 ppm of perfatty acid respectively. Example 26, containing no perfatty acid, was diluted to an equivalent formulation of Examples 23-25 containing perfatty acid. As can be seen from Table XIX, significant kill occurred at 5 ppm for

<u>S. aureus</u> using Examples 23-25. Significant kill occurred against <u>E. coli</u> at 10 ppm of perfatty acid in Examples 23-25. Example 26 (having no perfatty acid) did not produce any kill of either microorganism.

TABLE XIX

Antimicrobial Efficacy of Examples 23-26

	<u>Example</u>	Sample	Perfatty Acid Concentration (ppm)	S. aureus	og Kill E. coli
10	23	a	5	>7.0	3.6
		b c	10 15	 	>7.2 >7.2
15	24	a b	5 10	>7.0	3.0 >7.2
13		C	15	<del></del>	>7.2
	25	a b	5 10	>7.0 	<3.0 >7.2, 5.5
20	26	C	15		>7.2
20	26	a b	b		0

Equivalent total product concentration as Examples 23,
 24, 25 at 5 ppm perfatty acid.

## 30 Example 27

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The antimicrobial activity of percaprylic acid against <a href="E.coli">E.coli</a> was measured at a 30 second exposure at varying ph's. The formulation contained 94% water, 5% NAS 8D, and 1% percaprylic acid. The formulation was diluted in a 35 buffer of 0.05 M citrate and 0.05 M potassium phosphate. The log kill of this formulation at increasing ph's is summarized in Table XX. Samples containing 7 ppm and 25 ppm of percaprylic acid were tested. As Table XX indicates, significant kill at 7 ppm occurred at a ph of

Equivalent total product concentration as Examples 23, 24, 25 at 15 ppm perfatty acid.

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3.0. Significant kill levels were maintained at 25 ppm through a pH of 7.0.

5 Antimicrobial Efficacy of Percaprylic Acid against E. coli

	Log Kill (Perfatty PH Concentration 7 ppm)		Log Kill (Perfatty Concentration 25 ppm)		
10	3.0	>7.2	>7.2		
	5.0	<3.0	>7.2		
	7.0	<3.0	>7.2		
	8.9		<3.0		
15	9.0	<3.0	<b></b> .		

#### Examples 28-30

The compositions of Examples 28-30 were made to determine the limitations on cidal activity of compositions containing at least 30% acetic acid. Higher acetic acid formulations were also tested for their cidal activity. The composition of Example 30 was prepared with no coupler (NAS 8D). The compositional ingredients of Examples 28-30 are summarized below in Table XXI.

25 TABLE XXI

	<del></del>	Wt-% of Ingredient				
	Ingredient	Example 28	Example 29	Example 30		
	Acetic Acid	30.0	50.0	50.0		
30	$H_2O_2$ (35%)	30.0	15.0	15.0		
	Dequest 2010	1.0	1.0	1.0		
	C <sub>8</sub> Fatty Acid	4.0	6.0	5.0		
	NAS 8D (Spray Dried	1) 5.0	5.0			
	Distilled Water	30.0	23.0	29.0		

35 The antimicrobial efficacy of Examples 28-30 was determined using the procedure of the standard A.O.A.C.

sanitizing test. The compositions of Examples 28-30 were diluted with 500 ppm hard water and employed at 25°C. The bacteria used in the test procedure were <u>S. aureus</u> and <u>E. coli</u>, and TGE plating medium was employed. Exposure time of the compositions to the bacteria was 30 seconds. The neutralizer employed in the testing procedure contained 0.1% thiosulfate, 1.0% peptone, and 0.025% catalase. The antimicrobial activity of Examples 28-30 is summarized in Table XXII below.

10 <u>TABLE XXII</u> Cidal Activity of Examples 28-30

				Log Redu	iction
	Formulation	Concentration	<u>pH</u>	S. aureus	E. coli
	Example 28	1 oz:8 gal.ª	4.48	>7.15	>6.89
15		1 oz:10 gal.b	4.83	>7.15	>6.89
		1 oz:12 gal.º	5.04	>7.15	6.41
		1 oz:14 gal.d	5.52	>7.15	5.76
		1 oz:16 gal.º	5.94	>7.15	2.95
	Example 29	40 ppm Active	4.16	>7.15	>6.89
20	Example 30	40 ppm Active	4.04	>7.15	>6.89

<sup>&</sup>lt;sup>a</sup> 54.2 ppm peracid

As Table XXII indicates, very low concentrations of combinations of peroxyacetic acid and peroxyfatty acid are 30 very effective in killing bacteria. Also, Example 30 showed that the composition of the invention is antimicrobially effective without a hydrotrope coupler.

The foregoing discussion and Examples are illustrative of the invention. However, since many embodiments of the

b 43.3 ppm peracid

c 36.1 ppm peracid

<sup>25</sup> d 31.0 ppm peracid

e 27.2 ppm peracid

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invention can be made without departing from the spirit and scope of the invention, the invention resides wholly in the claims hereinafter appended.

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#### I CLAIM:

- 1. A peroxyacid antimicrobial concentrate composition comprising:
- (a) an effective biocidal amount of a  $C_1$ - $C_4$  peroxycarboxylic acid; and
  - (b) an effective biocidal amount of an aliphatic  $C_6-C_{18}$  peroxyacid;
- wherein the concentrate composition has a proportional
  weight ratio of about 20 to 1 parts of (a) per part of (b)
  and is capable of being diluted with a major proportion of
  water to form an antimicrobial use solution having a pH in
  the range of about 2 to 8.
- 2. The concentrate composition of claim 1 which comprises about 0.01 to 25 wt-% of said  $C_1-C_4$  peroxycarboxylic acid.
- The concentrate composition of claim 2 wherein said C<sub>1</sub>-C<sub>4</sub> peroxycarboxylic acid comprises peroxyacetic acid, peroxypropionic acid, peroxysuccinic acid,
   peroxyglycolic acid or mixtures thereof.
  - 4. The concentrate composition of claim 1 which comprises about 0.01 to 10 wt-% of said  $C_6-C_{18}$  peroxyacid.
  - 5. The concentrate composition of claim 4 wherein said  $C_6-C_{18}$  peroxyacid comprises a linear aliphatic monoperoxy fatty acid, or an aliphatic monoperoxy- or diperoxydicarboxylic acid.
  - 6. The concentrate composition of claim 4 wherein said  $C_6-C_{18}$  peroxyacid has about 8 to 12 carbon atoms per molecule.
- 7. The concentrate composition of claim 1 wherein said  $C_6$ - $C_{18}$  peroxyacid comprises peroxyoctanoic acid, peroxydecanoic acid, monoperoxy- or diperoxyadipic acid, monoperoxy- or diperoxysebacic acid, or mixtures thereof.

- 8. The concentrate composition of claim 1 wherein the weight ratio of said  $C_1$ - $C_4$  peroxycarboxylic acid to said  $C_6$ - $C_{18}$  peroxyacid is about 15:1 to 3:1.
- 9. The concentrate composition of claim 1 further 5 comprising an effective amount of a hydrotrope coupling agent capable of solubilizing said C<sub>6</sub>-C<sub>18</sub> peroxyacid in the concentrate and when the concentrate is diluted with water.
  - 10. The concentrate composition of claim 9 wherein said concentrate comprises about 0.1 to 30 wt-% of said hydrotrope coupling agent.
  - 11. The concentrate composition of claim 10 wherein said hydrotrope comprises n-octanesulfonate, a xylene sulfonate, a naphthalene sulfonate, or mixtures thereof:
- 12. The concentrate composition of claim 1 further comprising a  $C_1$ - $C_4$  carboxylic acid, an aliphatic  $C_6$ - $C_{18}$  carboxylic acid, or mixtures thereof.
- 13. The concentrate composition of claim 12 wherein said  $C_1$ - $C_4$  carboxylic acid component comprises acetic acid, propionic acid, succinic acid, glycolic acid, or mixtures thereof.
  - 14. The concentrate composition of claim 12 wherein said  $C_6-C_{18}$  carboxylic acid comprises octanoic acid, decanoic acid, adipic acid, sebacic acid, or mixtures thereof.
- 25 15. The concentrate composition of claim 1 further comprising hydrogen peroxide.
  - 16. The concentrate composition of claim 15 wherein said concentrate comprises about 1 to 50 wt-% of said hydrogen peroxide.
- 30 17. The concentrate composition of claim 1 wherein said composition can form a use solution having a pH of about 3 to 7.
  - 18. A peroxyacid antimicrobial concentrate composition comprising:

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- (a) about 0.01 to 25 wt-% of a  $C_1$ - $C_4$  peroxycarboxylic acid;
- (b) about 0.01 to 10 wt-% of a peroxyacid of the structure  $R_1\text{-CO}_3H$ , wherein  $R_1$  comprises a linear, saturated hydrocarbon chain having about 5 to 17 carbon atoms;
- (c) about 0.1 to 30 wt-% of a hydrotrope coupling agent capable of solubilizing said peroxyacid of (b) in the concentrate and when the concentrate is diluted with water; and
- (d) about 1 to 50 wt-% of hydrogen peroxide; wherein the concentrate composition is capable of being diluted with a major proportion of water to form an antimicrobial sanitizing use solution having a pH in the range of about 3 to 7.
- 19. The concentrate composition of claim 18 wherein said  $C_1$ - $C_4$  peroxycarboxylic acid comprises peroxyacetic acid, peroxypropionic acid, peroxysuccinic acid, peroxyglycolic acid, or mixtures thereof.
- 20. The concentrate composition of claim 18 wherein said peroxyacid of (b) is a peroxyfatty acid having about 8 to 12 carbon atoms per molecule.
- 21. The concentrate composition of claim 20 wherein said peroxyfatty acid comprises peroxyoctanoic acid,25 peroxydecanoic acid, or mixtures thereof.
  - 22. The concentrate composition of claim 20 wherein the weight ratio of  $C_1$ - $C_4$  peroxycarboxylic acid to peroxyfatty acid is about 15:1 to 3:1.
- 23. The concentrate composition of claim 18 wherein 30 said hydrotrope comprises n-octanesulfonate.
  - 24. The concentrate composition of claim 20 further comprising about 5 to 50 wt-% of a fatty acid, a  $C_1$ - $C_4$  carboxylic acid, or mixtures thereof.

- 25. The concentrate composition of claim 24 wherein said  $C_1$ - $C_4$  carboxylic acid component comprises acetic acid, propionic acid, or mixtures thereof.
- 26. The concentrate composition of claim 24 wherein 5 said fatty acid comprises octanoic acid, decanoic acid, or mixtures thereof.
  - 27. An aqueous peroxyacid antimicrobial composition comprising:
- (a) at least about 10 parts per million (ppm) of a  $C_1$ - $C_4$  peroxycarboxylic acid; and
  - (b) at least about 1 ppm of a  $C_6-C_{18}$  peroxyacid; wherein the aqueous composition has a pH in the range of about 2 to 8.
- 28. The aqueous composition of claim 27 wherein said 15  $C_1$ - $C_4$  peroxycarboxylic acid comprises peroxyacetic acid, peroxypropionic acid, peroxysuccinic acid, peroxyglycolic acid, or mixtures thereof.
- 29. the aqueous composition of claim 27 wherein said  $C_6-C_{18}$  peroxyacid is a linear aliphatic peroxyfatty acid, 20 or an aliphatic monoperoxy- or diperoxydicarboxylic acid.
  - 30. The aqueous composition of claim 29 wherein said peroxyfatty acid has about 8 to 12 carbon atoms per molecule.
- 31. The aqueous composition of claim 27 wherein said 25 C<sub>6</sub>-C<sub>18</sub> peroxyacid comprises peroxyoctanoic acid, peroxydecanoic acid, monoperoxy- or diperoxyadipic acid, monoperoxy- or diperoxysebacic acid, peroxybenzoic acid, or mixtures thereof.
- 32. The aqueous composition of claim 27 further
  30 comprising at least about 1 ppm of a hydrotrope coupling agent.
  - 33. The aqueous composition of claim 27 wherein the weight ratio of said  $C_1$ - $C_4$  peroxycarboxylic acid to said  $C_6$ - $C_{18}$  peroxyacid is about 15:1 to 3:1.

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- 34. The aqueous composition of claim 27 further comprising at least about 10 ppm of a  $C_1$ - $C_4$  carboxylic acid, a  $C_6$ - $C_{18}$  carboxylic acid, or mixtures thereof.
- 35. The aqueous composition of claim 34 wherein said  $C_1$ - $C_4$  carboxylic acid comprises acetic acid, propionic acid, succinic acid, glycolic acid, or mixtures thereof.
- 36. The aqueous composition of claim 34 wherein said  $C_6$ - $C_{18}$  carboxylic acid comprises octanoic acid, decanoic acid, adipic acid, sebacic acid, benzoic acid, or mixtures 10 thereof.
  - 37. The aqueous composition of claim 27 further comprising at least about 1 ppm of hydrogen peroxide.
  - 38. An aqueous peroxyacid antimicrobial sanitizing composition comprising:
- 15 (a) about 10 to 75 parts per million (ppm) of a C<sub>1</sub>-C<sub>4</sub> peroxycarboxylic acid;
  - (b) about 1 to 25 ppm of a peroxyacid of the structure  $R_1\text{-CO}_3H$  wherein  $R_1$  comprises a linear, saturated hydrocarbon chain having about 5 to 17 carbon atoms;
  - (c) about 1 to 200 ppm of a hydrotrope coupling agent; and
- (d) about 2 to 200 ppm of hydrogen peroxide; wherein the aqueous composition has a pH in the range of 25 about 3 to 7.
  - 39. The aqueous composition of claim 38 wherein said  $C_1$ - $C_4$  peroxycarboxylic acid comprises peroxyacetic acid, peroxypropionic acid, peroxysuccinic acid, peroxyglycolic acid, or mixtures thereof.
- 30 40. The concentrate composition of claim 38 wherein said peroxyacid of (b) is peroxyfatty acid having about 8 to 12 carbon atoms per molecule.

- 41. The aqueous composition of claim 40 wherein said peroxyfatty acid comprises peroxyoctanoic acid, peroxydecanoic acid, or mixtures thereof.
- 42. The aqueous composition of claim 38 wherein said 5 hydrotrope comprises n-octanesulfonate.
  - 43. The aqueous composition of claim 40 further comprising about 10 to 800 ppm of a fatty acid, a  $C_1$ - $C_4$  carboxylic acid, or mixtures thereof.
- 44. The aqueous composition of claim 43 wherein said  $C_1$ - $C_4$  carboxylic acid comprises acetic acid, propionic acid, or mixtures thereof.
  - 45. The aqueous composition of claim 43 wherein said fatty acid comprises octanoic acid, decanoic acid, or mixtures thereof.
- 15 46. A method of sanitizing substantially fixed inplace process facilities comprising the steps of:
  - (a) introducing into the process facilities the composition of claim 27 at a temperature in the range of about  $4^{\circ}\text{C}$  to  $60^{\circ}\text{C}$ ;
- 20 (b) circulating the composition through the process facilities for a time sufficient to sanitize the process facilities; and
  - (c) draining the composition from the process facilities.
- 25 47. The method of claim 46 wherein said composition is circulated through the process facilities for about 30 minutes or less.
- 48. The method of claim 46 wherein after said draining of said composition from the process facilities, the process facilities are rinsed with potable water.
  - 49. The method of claim 46 wherein the process facilities comprise a milk line dairy.
  - 50. The method of claim 46 wherein the process facilities comprise a continuous brewing system.

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- 51. The method of claim 46 wherein the process facilities comprises a pumpable food system or beverage processing line.
- 52. A method of sanitizing or disinfecting a solid surface or liquid media by bringing the surface or medium into contact with the composition of claim 27 at a temperature in the range of about 4°C to 60°C for an effective period of time sufficient to sanitize or disinfect the solid surface or liquid media.
- 53. A process for sanitizing, disinfecting or sterilizing a contaminated surface using a peroxyacid antimicrobial concentrate composition, comprising the steps of:
  - (a) diluting in an aqueous liquid said antimicrobial concentrate, thereby forming an aqueous antimicrobial solution, said antimicrobial concentrate comprising:
    - (i) about 0.01 to 25 wt-% of a  $C_1$ - $C_4$  peroxycarboxylic acid;
- 20 (ii) about 0.01 to 10 wt-% of a  $C_6-C_{18}$  peroxyacid;

wherein said aqueous antimicrobial solution has a pH of about 2 to 8;

- (b) contacting said aqueous antimicrobial solution with said contaminated surface for a period of time effective to sanitize, disinfect, or sterilize said surface.
- 54. The process of claim 53 wherein said antimicrobial concentrate further comprises about 0.1-30 wt-\$ of a hydrotrope coupling agent capable of solubilizing said  $C_6$ - $C_{18}$  peroxyacid in the concentrate and when the concentrate is diluted with water.
  - 55. The process of claim 53 wherein said  $C_1$ - $C_4$  peroxycarboxylic acid comprises peroxyacetic acid,

peroxypropionic acid, peroxysuccinic acid, peroxyglycolic acid, or mixtures thereof.

- 56. The process of claim 53 wherein said C<sub>6</sub>-C<sub>18</sub> peroxyacid comprises peroxyoctanoic acid, peroxydecanoic acid, monoperoxy- or diperoxysebacic acid, peroxybenzoic acid, or mixtures thereof.
- 57. The process of claim 54 wherein said hydrotrope coupling agent comprises n-octanesulfonate, a xylene
  10 sulfonate, a naphthalene sulfonate, or mixtures thereof.
  - 58. The process of claim 53 wherein the contaminated surface is an inanimate solid surface contaminated by a biological fluid comprising blood, other hazardous body fluids, or mixtures thereof.
- 59. The process of claim 53 wherein the contaminated surface comprises the surface of food service wares or equipment.
  - 60. The process of claim 53 wherein the contaminated surface comprises the surface of a fabric.
- 20 61. A peroxyacid antimicrobial concentrate composition comprising:
  - (a) an effective biocidal amount of a  $C_1$ - $C_4$  peroxycarboxylic acid;
  - (b) an effective biocidal amount of an aliphatic  $C_6-C_{18}$  peroxyacid;
    - (c) an unoxidized C<sub>1</sub>-C<sub>4</sub> carboxylic acid;
  - (d) an unoxidized aliphatic  $C_6 C_{18}$  carboxylic acid; and
    - (e) hydrogren peroxide;
- 30 wherein the concentrate composition is capable of being diluted with a major proportion of water to form an antimicrobial use solution having a pH in the range of about 2 to 8.

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 92/04519

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all)						
According to International Patent Classification (IPC) or to both National Classification and IPC Int.Cl. 5 A01N37/16; //(A01N37/16,37:16)						
II. FIELDS SEARCHED						
	Minimum Docume	entation Searched?				
Classification System		Classification Symbols				
Int.Cl. 5	AOIN		·			
	Documentation Searched other to the Extent that such Documents					
III. DOCUMENTS CONSIDERE						
Category Citation of D	ocument, 11 with indication, where appropri	ate, of the relevant passages 12	Relevant to Claim No.13			
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IV. CERTIFICATION	he International Sevent	Date of Mailing of this International Same	h Report			
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